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PTO/SB/21 (12/97)

Approved for use through 09/30/00. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/538,455		
Filing Date	March 30, 2000		
First Named Inventor	Yang Xu		
Group Art Unit	1723		
Examiner Name	Not Yet Assigned		
Total Number of Pages in this Submission	9	Attorney Docket Number	1787-06001

ENCLOSURES (check all that apply)

- | | | |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached | <input type="checkbox"/> Assignment Papers
(for an Application) | <input type="checkbox"/> After Allowance
Communication to Group |
| <input checked="" type="checkbox"/> Amendment/Response
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to
Board of Appeals and
Interferences |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to
Group
(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69)
and Accompanying Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> To Convert a Provisional
Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Response to Missing Parts/
Incomplete Application | <input type="checkbox"/> Power of Attorney Revocation
Change of Correspondence
Address | <input type="checkbox"/> Additional Enclosure(s)
(please identify below): |
| <input type="checkbox"/> Response to Missing Parts
under 37 CFR '1.52 or
1.53 | <input type="checkbox"/> Terminal Disclaimer | |
| | <input type="checkbox"/> Small Entity Statement | |
| | <input type="checkbox"/> Request for Refund | |

REMARKS:

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	ROBERT GRAY CONLEY, ROSE & TAYON, P. C.
Signature	
Date	February 7, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: February 7, 2001

Type or printed name	M. A. CRABTREE		
Signature		Date	February 7, 2001

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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT \$ 286.00**Complete if Known**

Application Number	09/538,455
Filing Date	March 30, 2000
First Named Inventor	Yang Xu
Examiner Name	A. Chambers
Group Art Unit	1723
Attorney Docket No.	1787-06001

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:
 Deposit Account Number: 03-2769
 Deposit Account Name: Conley, Rose & Tayon, P.C.
☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:
☐ Check ☐ Credit Card ☐ Money Order ☐ Other Order

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	\$
106 320	206 160	Design filing fee	\$
107 490	207 245	Plant filing fee	\$
108 710	208 355	Reissue filing fee	\$
114 150	214 75	Provisional filing fee	\$

SUBTOTAL (1) \$00.00**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
27	- 20** = 7 x	18.00	= \$126.00
Independent Claims	5	3** = 2 x	80.00 = \$160.00
Multiple Dependent Claims		270.00	= \$00.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 80	202 40	Independent Claims in excess of 3	
104 270	204 135	Multiple dependent claim, if not paid	
109 80	209 40	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$286.00

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	\$
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	\$
139 130	139 130	Non-English specification	\$
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	\$
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	\$
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	\$
115 110	215 55	Extension for reply within first month	\$
116 390	216 195	Extension for reply within second month	\$
117 890	217 445	Extension for reply within third month	\$
118 1,390	218 695	Extension for reply within fourth month	\$
128 1,890	228 945	Extension for reply within fifth month	\$
119 310	219 155	Notice of Appeal	\$
120 310	220 155	Filing a brief in support of an appeal	\$
121 270	221 135	Request for oral hearing	\$
138 1,510	138 1,510	Petition to institute a public use proceeding	\$
140 110	240 55	Petition to revive - unavoidable	\$
141 1,240	241 620	Petition to revive - unintentional	\$
142 1,240	242 620	Utility issue fee (or reissue)	\$
143 440	243 220	Design issue fee	\$
144 600	244 300	Plant issue fee	\$
122 130	122 130	Petitions to the Commissioner	\$
123 50	123 50	Petitions related to provisional applications	\$
126 180	126 180	Submission of Information Disclosure Stmt	\$
581 40	581 40	Recording each patent assignment of property (times number of properties)	\$
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	\$
149 710	249 355	For each additional invention not examined (37 CFR § 1.129(b))	\$
179 710	279 355	Request for Continued Examination (RCE)	\$
169 900	169 900	Request for expedited examination of a design application	\$

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	ROBERT GRAY	Registration No. (Attorney/Agent)	41,798	Telephone	(713) 238-8000
Signature	<i>Robert Gray</i>	Date	February 7, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231.